The Influence of Rotation on Burning Plasma Performance in Tokamaks

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Thank You to All my Coauthors

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- Also, thank you to a large number of scientists whose excellent work I have referenced as part of the review
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Rotation (Flow) Is Essential to Understanding Toroidal Fusion Plasma Performance

 Rotation contributes to radial force balance, is important for the determination of the radial electric field

$$E_r = \frac{\nabla P}{nZe} + V_{\varphi}B_{\theta} - V_{\theta}B_{\varphi}$$

- ExB shear affects turbulence and
- transport
- Rotation can be driven but is also spontaneous, and plays a part in the plasma's self-organization into different states (e.g. H-mode)
- Plasma flow and magnetic geometry combine to form MHD phenomenon and the plasma's reaction to 3D fields







Understanding Rotation and Momentum Transport Is a Complex, Nonlinear Problem

- Initial turbulence generation by temperature and density profiles is key for momentum transport
- Turbulence generates momentum transport and residual stress, combining with momentum sources to generate momentum profile
- Particle and momentum profiles yield rotation profile
- Rotation affects MHD and combines with pressure to yield ExB shear

- Both poloidal and toroidal matter!

- MHD and ExB shear affect turbulence, feeding back on rotation through multiple complex pathways
- Note: Sign of rotation matters! **Neutrals ignored!**







To Simplify, Current Focus Will Be on Burning Plasma Tokamaks

- If no limits are applied, the subject of rotation is too broad to cover well
- Ultimate goal of any long-lived fusion production is a burning plasma
- Based on dominant research direction, burning plasma = large tokamak = ITER
 - ITER serves in this talk as a model for a burning plasma, but a burning plasma does not have to be ITER (or a tokamak)
- Large tokamaks have large volume, large moment of inertia, small relative neutral beam torque
 - We cannot apply an overwhelming source of momentum as is possible with many current tokamaks







Introduction to plasma rotation for tokamaks devices

Critical rotation understanding needed for burning plasmas

Recent work at DIII-D on predicting ITER rotation



6

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ExB Shear Directly Affects Turbulent Transport and Access to **Certain Operating Regimes**

ExB shear reduces radial transport through a nonlinear process that results in decorrelation of turbulence





 H-mode is caused by a feedback: more pressure gradient => more ExB shear => less radial transport

Step pressure gradient creates Er "well" that is key to global confinement and stability

Rotation Influences Interaction of Plasma State with Static 3D Structures

- MHD modes interact with 3D field structures and provide a torque that is rotation dependent
 - Rotation determines interaction between static structures and rotating mode in the plasma
- Mode locking allows MHD amplitude to grow and can result in disruptions
 - Disruptions a potential show-stopper (No, I haven't forgotten stellarators)
- Stability for some MHD is dependent on rotation, e.g. RWM
- Rotation shear can be important for the interaction of multiple MHD structures



8



Toroidal Rotation Influences High-Z Impurity Transport via Centrifugal Force

- Centrifugal force important when rotation is comparable to thermal speed $-V_{th} \sim 1/m^{1/2}$, easier to satisfy with high-Z impurities
- the core
- Higher rotation yields asymmetry 1.5 that enhances neoclassical pinch of impurities at lower 0.5 collisionality¹ Z [m]
- Low toroidal rotation can create more favorable high-Z impurity distribution¹



0

-0.5

-1

-1.5

High-Z transport important because of potential for debilitating radiated power from



[1] Angioni, Nucl. Fusion 54 083028 (2014)

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Momentum Transport Is the Formalism Through Which the **Complexity of Rotation Is Interpreted**

- Rotation does not have a conservation law, momentum does, rotation is a consequence of momentum balance
- For toroidal rotation:

- Looks simple enough, but momentum flux is complex, sources are not well known

$$V_{\varphi} = V_{\varphi}(\omega, n, \nabla n, T, \nabla T, \ldots)$$

Poloidal rotation has similar complexities plus poloidal damping



 $\frac{\partial}{\partial t}mnR^2\omega = -\nabla\cdot\mathbf{\Pi} + \tau$



The Measurement of Toroidal Rotation Is Not Trivial

- Mach probes cannot survive high pressure regions
- Measurement of impurity toroidal rotation is routine
 - Typically user charge exchange (CER on DIII-D) - Charge exchange requires correction due to energy dependent cross section for charge exchange process





Momentum studies requires knowing the rotation of the bulk of the plasma => needs more difficult to measure main-ion rotation

Assuming standard beam/plasma composition

- Main-ion poloidal rotation rare, essentially impossible for standard beam/plasma composition





Measurement of Poloidal Rotation Is Difficult and Requires Subtle Distinctions

- Poloidal rotation for impurities is <u>very</u> different from main-ion poloidal rotation
 - This is somewhat moot, goal is usually to get E_r, only need complete set of measurements from one species
- Energy dependent charge exchange cross section is difficult to correct due to finite lifetime effect¹
 - Assuming standaard beam orientation
- New measurement techniques have increased accuracy²⁻⁴
- ExB flow is in the perpendicular direction but is not itself the poloidal flow, can be seen in turbulence advection, not turbulence phase velocity
 - Velocimetry with beam emission spectroscopy or gas puff imaging non-trivial, doppler shift from doppler backscattering usually assumes small phase velocity





c. Chrystal/APTWG/June 5-8, 2017 [3] Camenen, EPS (2012) and Bortolon, Nucl. Fusion (2013)



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Interaction Between Rotation and Rotation Drive Key to **Understanding H-mode Transition**

- Mechanism of H-mode transition needs to be understood to have predictive capability
 - H-mode is known to be locked in by steep pressure gradient and Er well, transition itself still a subject of investigation
- Turbulence generated zonal flows reducing turbulence until pressure gradient can lock in is a very promising model¹
 - Some results at without any phase shift are very intriguing
- What will the H-mode power threshold be for ITER? In ITER with a helium plasma?
- Interaction of flow and turbulence at critical point must be understood for success and survivability of a burning plasma





 $\rho_{\rm s} < lk_r^{\rm ZF} < L_{\rm i}$

Candidates for ELM-free Operation Require Particular Rotation in Pedestal

- There are several schemes for accomplishing this
- RMP ELM suppression is lost when neutral beam torque is reduced, results consistent with $E_r=0$ moving, preventing island creation²
- QH-mode requires significant ExB shear in the pedestal to be created (can exist with near zero torque) 1,2
- Will rotation in pedestal satisfy unique conditions for these operating modes?





Avoiding ELMs with H-mode confinement is, essentially, necessary for ITER's success

[1] Garofalo, Nucl. Fusion 51, 083018 (2015) [2] Chen, Nucl. Fusion 56, 076011 (2016) [3] Moyer, APS 2016





Rotation Shield Plasma from Error Fields and Decreases Susceptibility to MHD

- Rotation profiles can set MHD stability or determine discharge viability in the event of MHD being destabilized by another cause (e.g. NTM)
- RWM known to be a potential problem at low rotation, low rotation not a sufficient condition, requires detailed study of delta-W that depends on the rotation itself Higher rotation increases n=2 overlap field needed to generate tearing mode¹







[1] Lanctot, Phys. Plasmas 24, 056117 (2017)



3D Fields Influence Rotation by Creating Non-ambipolar Transport

- work saw a small effect after n=1 compensation²
- Non-dominant error fields (n=2) clearly reduce rotation^{3,4}
- 3D field effects described by NTV theory, non-ambipolar transport brings plasma to an offset rotation
- Can NTV be used to create desirable rotation profiles?





17

Field ripple has been shown to reduce rotation¹, but high n test blanket module



- [1] Nave, Plasma Phys. Control. Fusion 54, 074006 (2012)
 - [2] Lanctot, Nucl. Fusion 57, 036004 (2017)

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- [3] Paz-Soldan, Nucl. Fusion 55, 083012 (2015)
 - [4] Lee, Phys. Plasmas 23, 082510 (2016)

Predictive Capability for Poloidal Rotation Needs to Be Developed

- Past experiments with poloidal rotation have shown a mixed set of results when comparing to neoclassical theory¹ - Alternative, comprehensive calculations needed for testing
- low collisionality/large drive (turbulence, NBI, etc.) can make this assumption false
- Poloidal rotation driven by residual stress could easily change E_r in ITER
 - -1 km/s V_{pol}, (a reasonable error bar) is a 10% contribution to E_r when V_{tor} is 100 km/s (ω is 16 krad/s)





Typically assumed that damping makes rotation neoclassical, evidence suggests



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Recent work at DIII-D on predicting ITER rotation^{1,2}



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[1] Chrystal, Phys. Plasmas **24**, 042501 (2017) [2] Chrystal, Phys. Plasmas 24, 056113 (2017)

Dimensionless Parameter Scans Increase Basic Understanding of the Complex Intrinsic Torque and Momentum Transport

- plasma parameters shared by different size tokamaks^{1,2}
- up" to ITER



• Normalized gryoradius (ρ^*) and collisionality (v^*) are key differences between current operation and ITER

 $-\rho^*$ for ITER cannot be matched on current machines $-v^*$ is rarely matched



Dimensionless parameter scans study plasma dynamics in terms of fundamental

- Previously used to study energy transport, and other complex phenomena

Dependencies on these parameters are studied one at a time, results then "scaled



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[1] Petty, Phys. Plasmas **15**, 080501 (2008) [2] Luce, Plasma Phys. Control. Fusion 50, 043001 (2008)

Dimensionless Parameter Scans Are Used to Determine ρ^* and v^* **Dependencies of Intrinsic Torque**

- Vary one dimensionless parameter at a time
- B_T is varied, other parameters scaled with prescribed proportions
 - -For ρ^* : $I_p \sim B_T a$, $n \sim B_T^{4/3} a^{-1/3}$, $T \sim B_T^{2/3} a^{1/3}$
 - For v^* : $I_p \sim B_T a$, $n \sim a^{-2}$, $T \sim B_T^2 a^2$
- NBI torque is modulated, change in rotation related to intrinsic torque size relative to NBI torque steps



21





1 7		
2 2		
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n/s	;)	
	_	

Good Dimensionless Parameter Matches Were Obtained in ρ^* and v^* Scans

- (high ρ^* , high v*), agreement shows only ρ^* or v* was varied
- ρ^* scan was designed as multi-machine scan to help extrapolation to ITER - ITPA joint experiment





• Profiles of high field (low ρ^* , low v^*) are compared to scaled versions of low field

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- ρ^* scan was designed as multi-machine scan to help extrapolation to ITER - ITPA joint experiment
- v* scan only performed in DIII-D
- Density peaking changes with v^* , match at outer radii was prioritized





• Profiles of high field (low ρ^* , low v^*) are compared to scaled versions of low field

Intrinsic Torque and Momentum Confinement Time Are Found by Modeling **Time History of Angular Momentum**

- Data is fit to simple momentum conservation equation, intrinsic torque and steps¹
 - TRANSP+NUBEAM used to calculate torque steps and track angular momentum





momentum confinement time are separated by time response to known torque

Normalized Intrinsic Torque Increases with Decreasing ρ^* and Decreases with Decreasing v*



Intrinsic torque is ~1 Nm, in agreement with previous measurements





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- torque with T_i (simplest quantity with same units, discussed more later)





Must work with dimensionless parameters to determine scaling, normalize intrinsic

Normalized Intrinsic Torque Increases with Decreasing ρ^* and Decreases with Decreasing v*



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- torque with T_i (simplest quantity with same units, discussed more later)
- Net scaling is unexpectedly "favorable": increases for ITER's lower ρ^* , ν^*





Must work with dimensionless parameters to determine scaling, normalize intrinsic

Normalized Momentum Confinement Time Increases with Decreasing ρ^* and v^*



- Normalization is the Bohm-time, a^2B/T
- Scaling is (as expected) "favorable": increasing for ITER's lower ρ^* , ν^*



Same analysis that gave intrinsic torque also gave momentum confinement time



Scalings Predict a 10 krad/s Boundary Condition for ITER Rotation

Total intrinsic torque from low shot projected to ITER (scenario 1 of [1])

 $-\rho^*$ and ν^* in ITER are 30% of DIII-D values -q (3.5), β (1.4) scalings are ignored, they are similar to ITER

$$\int_{0}^{a} \tau_{\rm ITER} dV = \frac{\int_{0}^{a} \tau_{\rm D3D} dV}{N_{\rm D3D}} \left(\frac{\rho_{\rm ITER}^{*}}{\rho_{\rm D3D}^{*}}\right)^{-1.5} \left(\frac{\nu_{\rm ITER}^{*}}{\nu_{\rm D3D}^{*}}\right)^{0.26} N_{\rm ITER}$$

- Total intrinsic torque prediction is 33 Nm - Coincidentally, approximately the same as ITER NBI torque
- Similar method yields a momentum confinement time of 1.2 s

$$\left\langle \omega \right\rangle \int_{0.8}^{1} nm R^2 dV \equiv \int_{0}^{1} dV = \int_$$

intrinsic torque; will be part of future ITER prediction



 $\int_{0.8}^{1} nmR^2 \omega dV = t_{\varphi} \int_{0.8}^{1} \tau_{\rm int} dV$

• $<\omega>=10$ krad/s (60 km/s) boundary condition calculated with ONLY edge portion of

[1] Green, Plasma Phys. Control. Fusion **45**, 687 (2003) C. Chrystal/APTWG/June 5-8, 2017



Results from JET Show a Similar Trend and Support Temperature Normalization



- A proper normalization unites results from different size tokamaks
- JET portion shows best match when normalizing with T_i (final analysis ongoing)

This work was conducted under the auspices of the ITPA Transport and Confinement Topical Group



Total thermal energy is expected normalization for residual stress, but match is poor





Extensive Nature of Intrinsic Torque Similar to Previous Intrinsic **Rotation Results**

- Previous results showed edge intrinsic rotation was best correlated with T_i^1
- For self similar profiles, constant volume:

$$\begin{aligned} \tau_{\rm int} \sim L_{\rm int}/t_{\varphi} \sim nV \\ \Rightarrow nT_i/t_{\varphi} \\ \Rightarrow \tau_{\rm int}/T_i \sim n/t_{\varphi} \end{aligned}$$

- Self similar if, for instance, RV_{pinch}/χ =-4+R/L_n in [2] - Ignoring special case of rotation reversals

- This scaling is seen in recent experiments: In ρ^* scan n/t_{ϕ}~ $\rho^{*-1.06}$, measured to be $\rho^{*-1.49}$ In v* scan n/t_{φ}~ v*^{0.47}, measured to be v*^{0.26}
- Note, no dependence of intrinsic rotation on ρ^* does not mean intrinsic torque has no dependence - Soon to be analyzed experiments have investigated this



31



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[1] deGrassie, Nucl. Fusion 49, 085020 (2009) [2] Peeters, Phys. Rev. Lett. 98, 265003 (2007)

Studies of Energy Tranport Provide a Useful Reference for the Study of Momentum Transport

Same basic conservation equation

$$\frac{\partial}{\partial t} \left(\frac{3}{2}nT\right) = -\nabla Q + S + \Gamma \left(\nabla T + \frac{T}{n}\nabla n\right) - \nabla \left(\frac{5}{2}\Gamma T\right)$$

ren as function of diffusion, pinch

$$\equiv -n\chi\nabla T + VnT$$

tients are complex functions of
ters
 $\chi(n, \nabla n, T, \nabla T, ...)$
oth "power-balance" and
ansport
all parameters => equilibrium solution
onse => perturbed solution

$$\frac{\partial}{\partial t} \left(\frac{3}{2}nT\right) - \nabla \left(\frac{5}{2}\Gamma T\right)$$

Flux can be writted

$$Q \equiv -n\chi\nabla T + VnT$$

 Transport coeffic plasma parame

$$\chi = \chi(n, \nabla n, T, \nabla T, \ldots)$$

 Need to study be "incremental" tro

- PB depends on c

-INC is local respo







Momentum Transport Can Be More Complicated and Less Intuitive than Transport in Other Channels





33

$$\mathbf{\Pi} = nmR^2(-\chi_{\rm PB}\nabla\omega + V_{\rm PB}\omega\hat{r})$$

• Unlike energy transport, rotation gradient can drive ExB shear, χ_{inc} < 0 possible

Momentum Transport Can Be More Complicated and Less Intuitive than Transport in Other Channels



• Unlike energy transport, rotation gradient can drive ExB shear, χ_{inc} < 0 possible



34

$$\mathbf{\Pi} = nmR^2(-\chi_{\rm PB}\nabla\omega + V_{\rm PB}\omega\hat{r})$$

Gyrokinetic Simulations with TGLF and TGYRO Are Used to **Compare Momentum Flux from Theory and Experiment**

- TRANSP+NUBEAM used to determine experimental flux
- TGLF determines flux, significant low-k turbulence needed to get significant momentum flux, diamagnetic velocities are ignored (high rotation ordering)
- TGYRO ensures energy and particle flux match to generate low-k turbulence, profiles results are close to the measurements



• Additional dimensionless parameters (q, T_e/T_i) found to be important





Power Balance Momentum Flux Prediction Improves as Parameters Move Closer to ITER



TGLF prediction improved significantly when lowering q





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TGLF prediction improved significantly when lowering q

Prediction equally poor when raising T_e/T_i



Power Balance Momentum Flux Prediction Improves as Parameters Move Closer to ITER



- TGLF prediction improved significantly when lowering q
- Prediction equally poor when raising T_e/T_i
- Prediction improves when v^* is lowered



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Torque Perturbation in these Experiments Allow Further Study of Incremental Transport Coefficients

- Perturb momentum source with co/counter NBI, other parameters nearly constant - Allows temperature, density dependencies of coefficients and residual stress to be ignored $nmR^2\partial\tilde{\omega}/\partial t - \tilde{\tau} = -\nabla\cdot\tilde{\mathbf{\Pi}}, \quad \tilde{\mathbf{\Pi}} = nmR^2(-\chi_{\rm inc}\nabla\tilde{\omega} + V_{\rm inc}\tilde{\omega}\hat{r})$
- Fourier transform isolates plasma response at driven frequency, amplitude and

$$\tilde{\Pi} = \bar{\Pi}\cos(2\pi ft + \phi_{\Pi}), \quad \tilde{\omega} = \bar{\omega}\cos(2\pi ft + \phi_{\omega})$$

$$\chi_{\rm inc}\bar{\omega}\frac{\partial\phi_{\omega}}{\partial r} = \frac{\int_0^r (d{\rm Vol}/dr)\bar{\Pi}\cos\phi_{\Pi}dr\sin\phi_{\omega} - \int_0^r (d{\rm Vol}/dr)\bar{\Pi}\sin\phi_{\Pi}dr\cos\phi_{\omega}}{(d{\rm Vol}/dr)nm\langle R^2(\nabla r)^2\rangle}$$
$$\frac{V_{\rm inc}}{\nabla r}\bar{\omega} - \chi_{\rm inc}\frac{\partial\bar{\omega}}{\partial r} = \frac{\int_0^r (d{\rm Vol}/dr)\bar{\Pi}\cos\phi_{\Pi}dr\cos\phi_{\omega} - \int_0^r (d{\rm Vol}/dr)\bar{\Pi}\sin\phi_{\Pi}dr\sin\phi_{\Pi}dr\sin\phi_{\Pi}dr}{(d{\rm Vol}/dr)nm\langle R^2(\nabla r)^2\rangle}$$



phase solve indeterminacy, incremental transport coefficients then calculated



Torque Perturbation in these Experiments Allow Further Study of Incremental Transport Coefficients



can capture incremental and average dynamics

- Vinc not easily relatable to power balance pinch



Perturb momentum source with co/counter NBI, other parameters nearly constant

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Fourier transform isolates plasma response at driven frequency, amplitude and phase solve indeterminacy, incremental transport coefficients then calculated

Diffusion depends on phase of perturbation

Power balance and incremental coefficients can differ, no guarantee a solution



Measured Incremental Diffusivity Gets Smaller with Smaller q and v* in Dimensionless Parameter Scans



- Higher Te/Ti appears more problematic
- ITER's q and v^* is a more forgiving regime to model



Momentum confinement is less sensitive to rotation gradient when χ_{inc} is small

- Needs nonlinear gyrokinetics, beyond the scope of this work, see Wang talk in this session

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Incremental Transport Comparisons with TGLF Improve for Lower q and Lower v^*

 Predictive TRANSP with **TGLF** to use to model flux changes due to perturbation

 Comparison of momentum flux amplitude and phase determines if TGLF captures incremental transport





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Rotation Profile Predicted for ITER with Edge Intrinsic Torque Boundary and TGLF Transport Increases Performance

- 10 krad/s B.C., TGLF/TGYRO used to predict core rotation from NBI
 - Previous comparisons increase confidence
- Flat density has higher rotation, self-consistent particle transport has lower rotation, higher Q_{DT}
 - This rotation yields ~35 kV/m Er, 3X grad-pressure term¹, simulations used high ExB ordering



c. chrystal/APTWG/June 5-8, 2017 [1] Budny, Nucl. Fusion **48**, 075005 (2008) [2] Liu, Nucl. Fusion **49**, 035004 (2009) [3] Berkery, Phys. Rev. Lett. **104**, 035003 (2010)





Rotation Profile Predicted for ITER with Edge Intrinsic Torque **Boundary and TGLF Transport Increases Performance**

- 10 krad/s B.C., TGLF/TGYRO used to predict core rotation from NBI
 - Previous comparisons increase confidence
- Flat density has higher rotation, self-consistent particle transport has lower rotation, higher QDT
 - This rotation yields ~35 kV/m Er, 3X grad-pressure term¹, simulations used high ExB ordering
- Alfvén Mach number=0.01, further study needed to determine RWM stability^{2,3}



 $n_{e} (10^{19} \, m^{-3})$

30

25

20

15

10

(keV)



Rotation Is a Complex Nonlinear Problem at the Root of Determining Burning Plasma Performance

- are several important area where increased understanding is needed
 - H-mode transition physics
 - MHD stability and interaction with rotation
 - 3D field effects on rotation
- Dimensionless parameter analysis predicts intrinsic rotation that will be significant in ITER, motivating further study of this area
- Confidence in rotation predictions needed to determine possibilities of harmful MHD or ELM operating scenarios
- with significant room leftover for the influence of poloidal rotation - Especially in light of recent multiscale results¹



 The interaction of rotation and plasma dynamics is an extremely rich subject, and even when focusing on just the most critical needs of burning plasma design, there

Transport models show significant effects of ExB shear on overall ITER performance