

**Mode Competition, Saturation  
Mechanisms and Spatial Patterns  
in Multi-Scale Turbulence  
- a Selected Overview**

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Ackn: Lu Wang, Z.B. Guo, C. Holland

# Outline

- Preliminaries
- Conceptual Elements
  - Multi-scale problems
  - Mode competition
  - Spatial Patterns
- 'Multi-scale' and 'Multi-step': towards an improved reduced model
- Some preliminary observations
- Key open questions

# Preliminaries

- Why?
  - “Shortfall problem” has resisted efforts for long time [n.b.: problem is controversial]
  - Multi-scale approach  $\left\{ \begin{array}{l} \text{Drift} - \rho_i \\ \text{ETG} - \rho_e \end{array} \right.$  suggested as a road forward (c.f. Holland, et. al. 2014-2017), via GYRO simulations
  - Physics of interactions not elucidated  $\rightarrow$  prediction is highly problematic

And

- Physics is interesting!

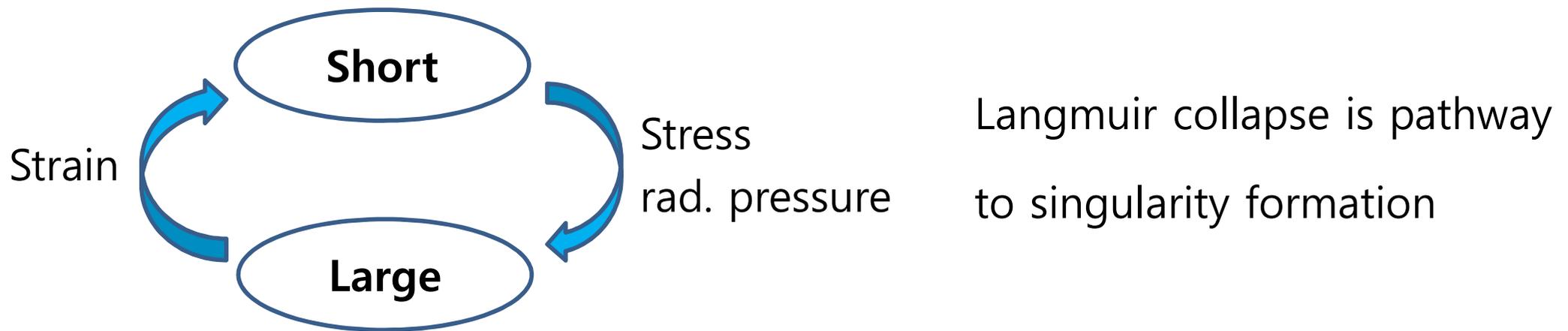
# Conceptual Elements

# Multi-Scale Problems

- Interactions of disparate scale populations

→ Classics: Langmuir turbulence

Drift wave – Zonal Flow



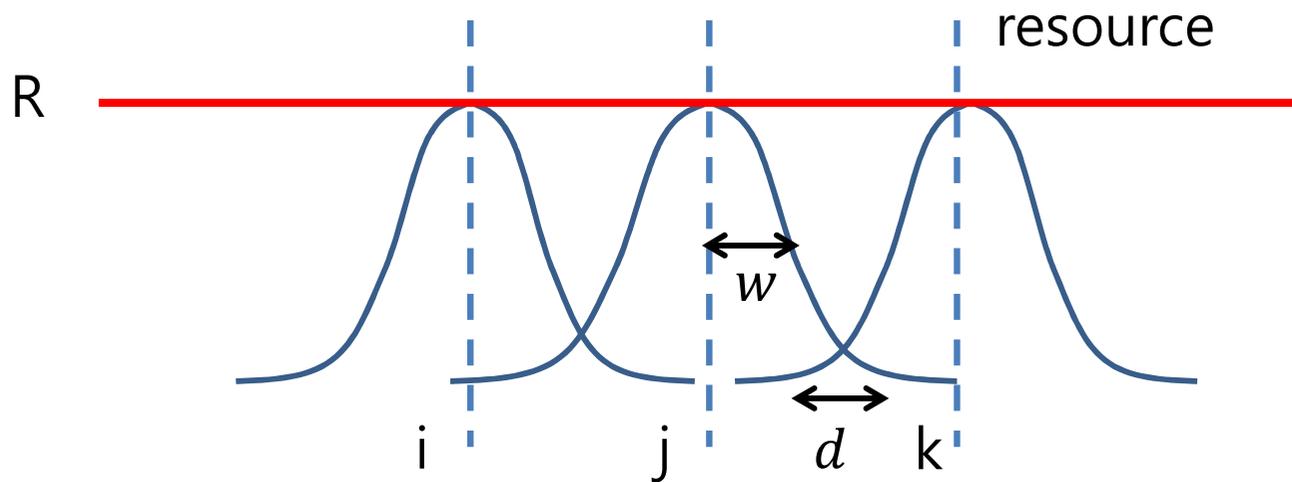
→ Familiar, though well understood in simplest cases, only

→ Interaction with free energy complicates dynamics

# Mode Competition → One Complication

→ Mode competition is some set of  $\left\{ \begin{array}{l} \text{modes} \\ \text{population} \end{array} \right.$  competing for available drive/free energy

→ Analogy from ecology → niche overlap (c.f. R. May)



Species Utilization:  $\left\{ \begin{array}{l} d \rightarrow \text{spacing} \\ w \rightarrow \text{width} \end{array} \right.$

→ niches

→ Question is stability of system, distribution of populations

- Populations  $N_i$

Competition matrix  $\rightarrow$  Overlap of population

$$\frac{d}{dt} N_i = N_i \left[ k - \sum_{j=1}^M \alpha_{ij} N_j \right]$$

- Extends familiar predator-prey idea, where one species accesses free energy, one is symbiotic
- Structure, eigenvalues of competition matrix  $\rightarrow$  system state
- Noise strongly affects overlap  $w$

# **Spatial Patterns**

(with A. Ashourvan)

# Some Questions

I)

Re: Drift-ZF Turbulence

Cf:

- Impact of ZF well established
- Effectively linear modulation theory developed

- Gurcan, P.D. '14
- *DI<sup>2</sup>H*, '05

But:

- What sets scale of ZF field?  $\rightarrow V'_E$
- How does modulational instability evolve nonlinearly, saturate
- N.B.: Predator-Prey feedback channel
- Saturation  $\leftrightarrow$  scale connection?

# Staircase structure

Snapshots of evolving profiles at  $t=1$  (non-dimensional time)

Initial conditions:  $n = g_0(1 - x)$ ,  $u = 0$ ,  $\varepsilon = \varepsilon_0$

Boundary conditions:  $n(0,t) = g_0$ ,  $n(1,t) = 0$ ;  $u(0,1;t) = 0$ ;  $\partial_x \varepsilon(0,1;t) = 0$

Structures:

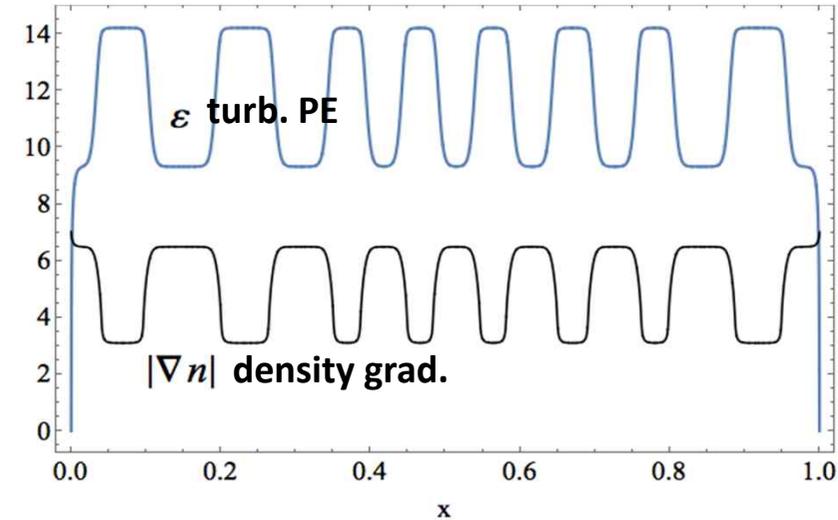
- Staircase in density profile:

- jumps  $\rightarrow$  regions of steepening

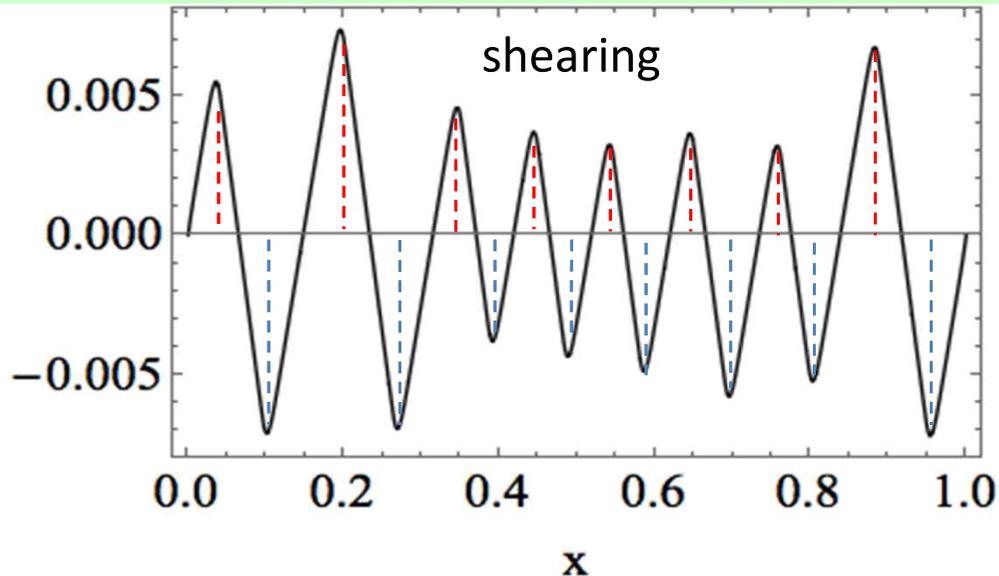
- steps  $\rightarrow$  regions of flattening

- At the jump locations, turbulent PE is suppressed.

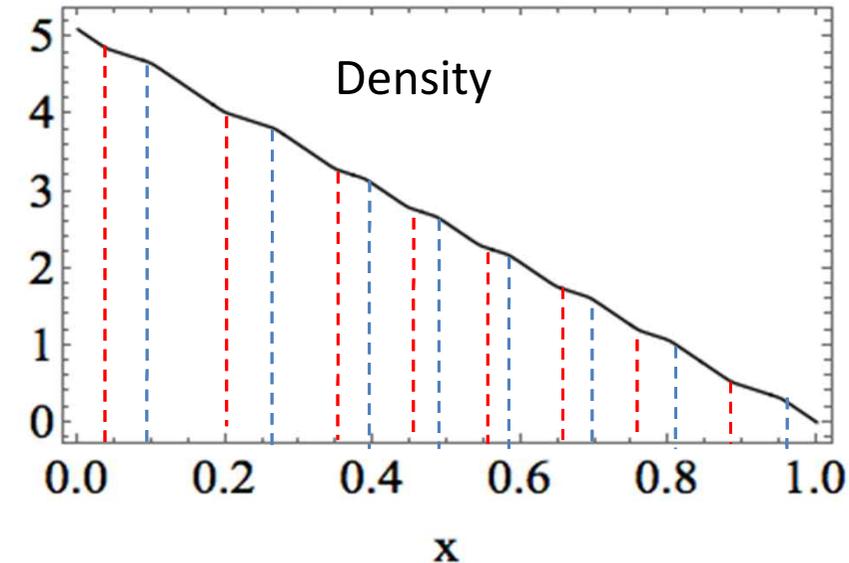
- At the jump locations, vorticity gradient is positive



$n(x,t)$



Density  
+  
Vorticity  
lattices

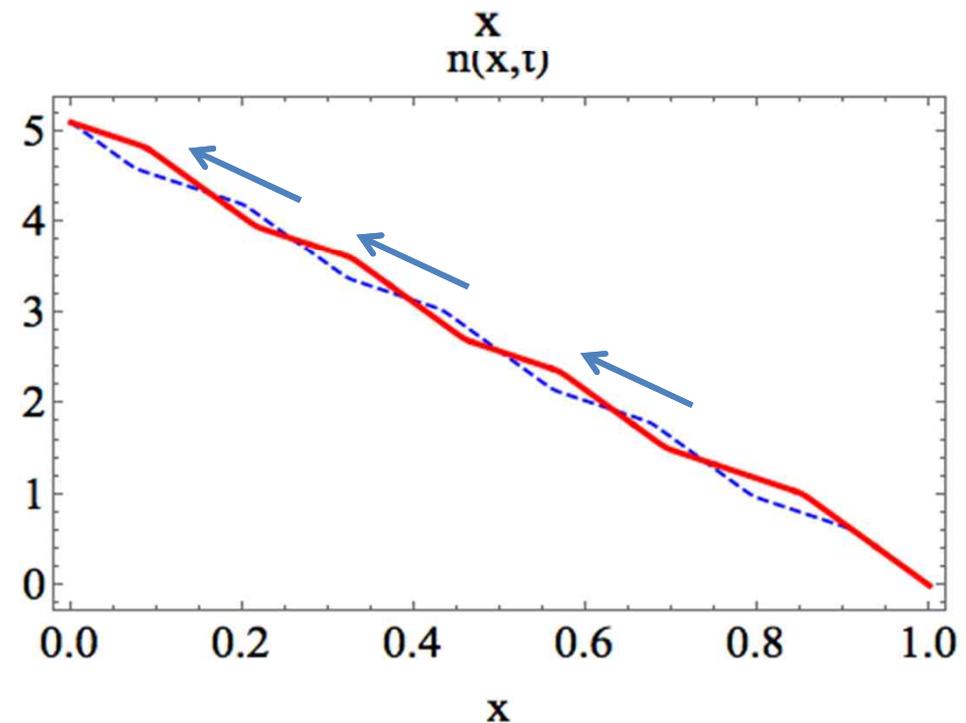
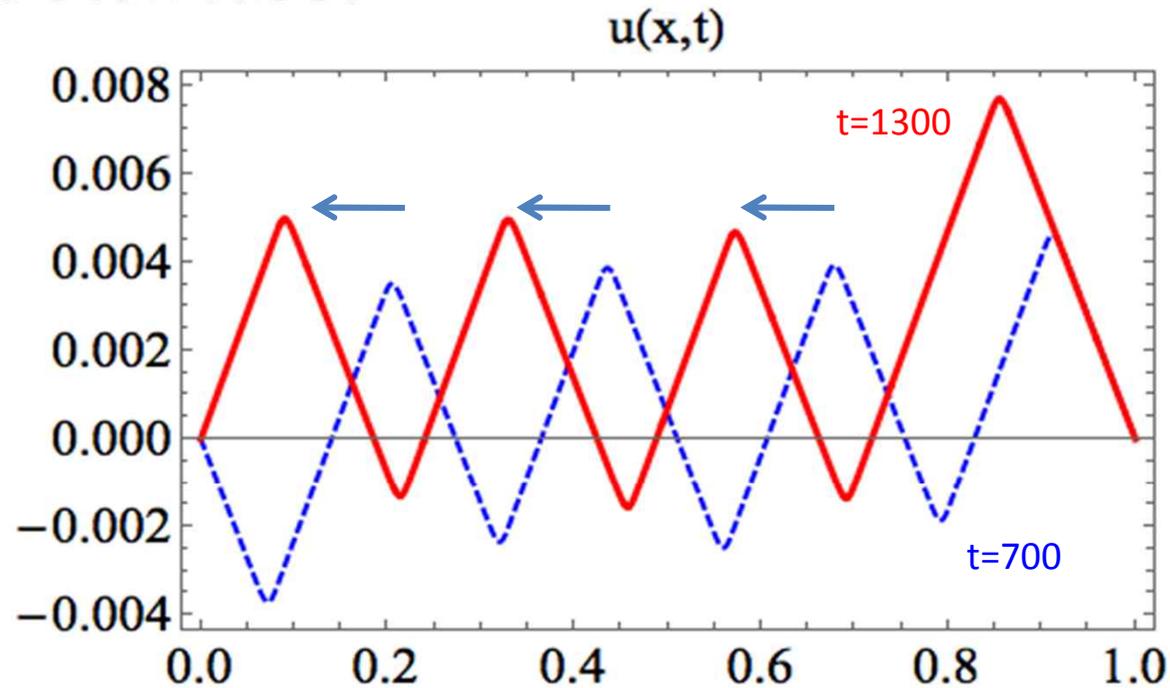


# Dynamic Staircases

- Shear pattern detaches and delocalizes from its initial position of formation.
- Mesoscale shear lattice moves in the up-gradient direction. Shear layers condense and disappear at  $x=0$ .
- Shear lattice propagation takes place over much longer times. From  $t \sim O(10)$  to  $t \sim (10^4)$ .
- Barriers in density profile move upward in an “Escalator-like” motion.

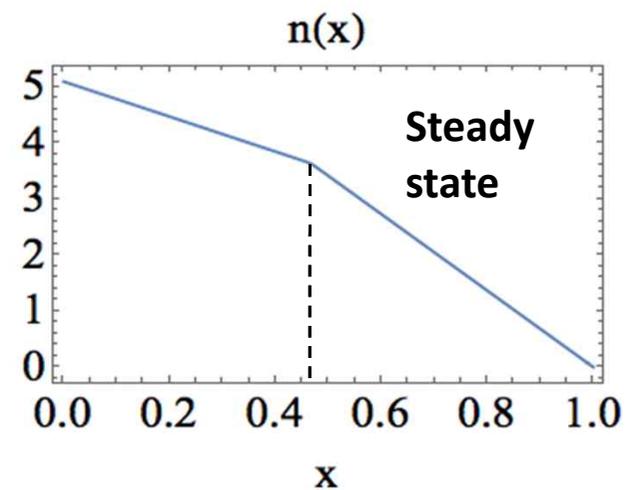
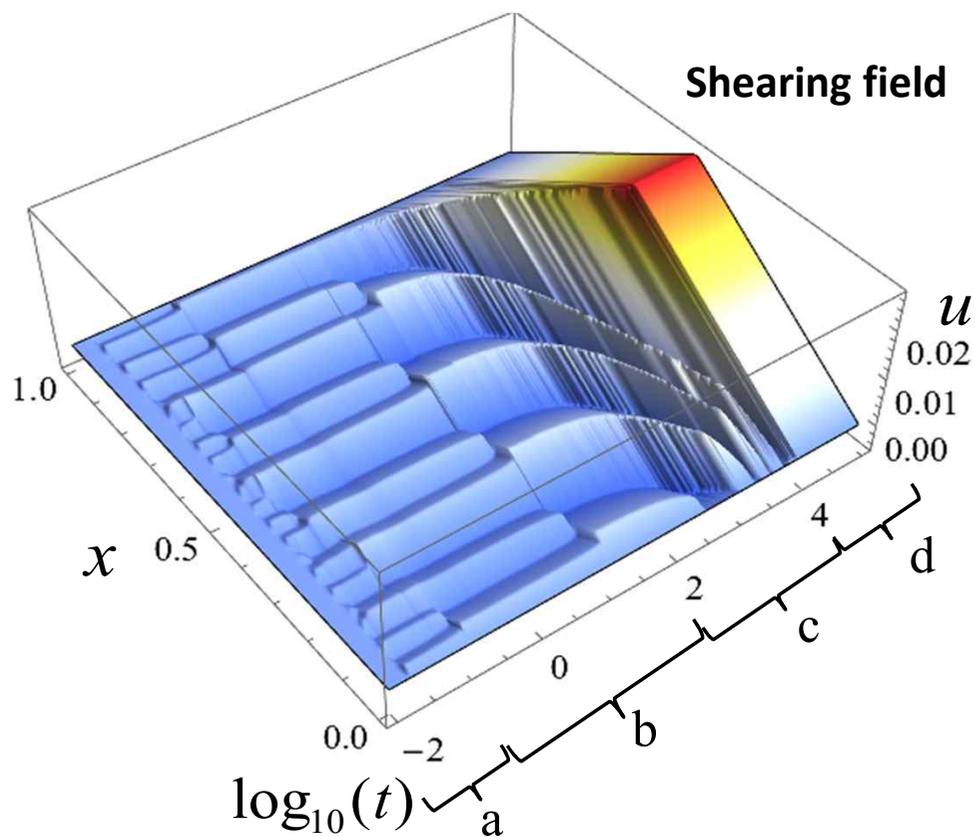
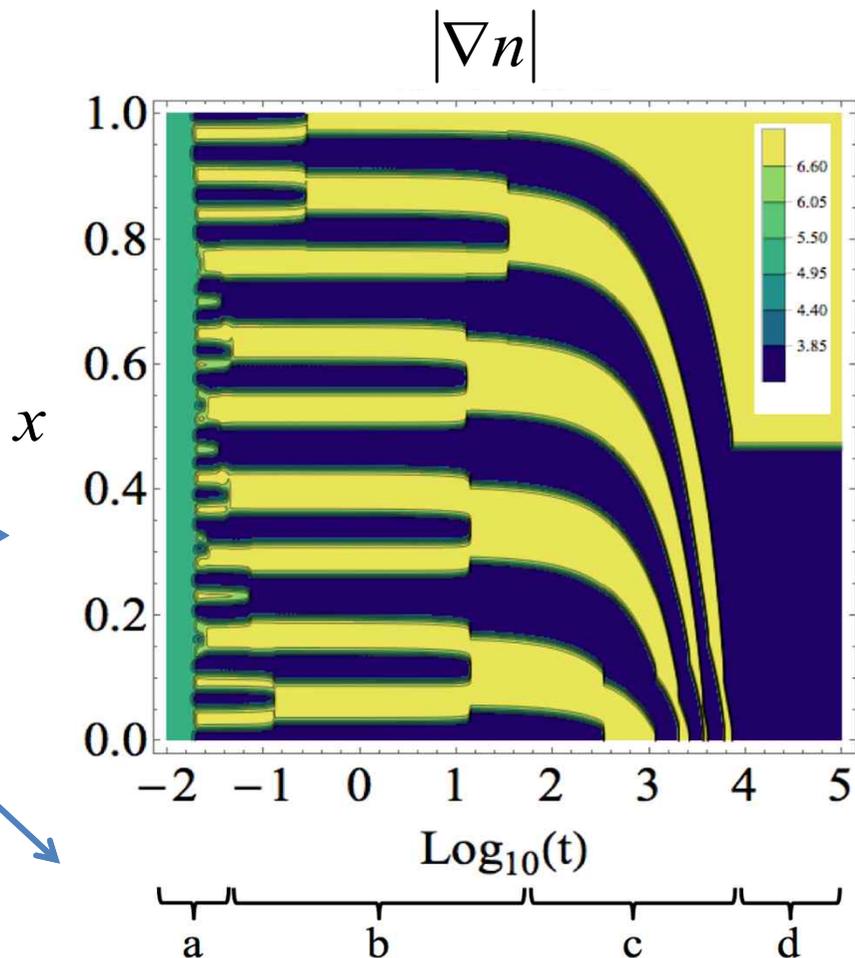
→ **Macroscopic Profile Re-structuring**

↕  
**‘Non-locality’**



# Time evolution of profiles

- (a) Fast merger of micro-scale SC. Formation of meso-SC.
- (b) Meso-SC coalesce to barriers
- (c) Barriers propagate along gradient, condense at boundaries
- (d) Macro-scale stationary profile

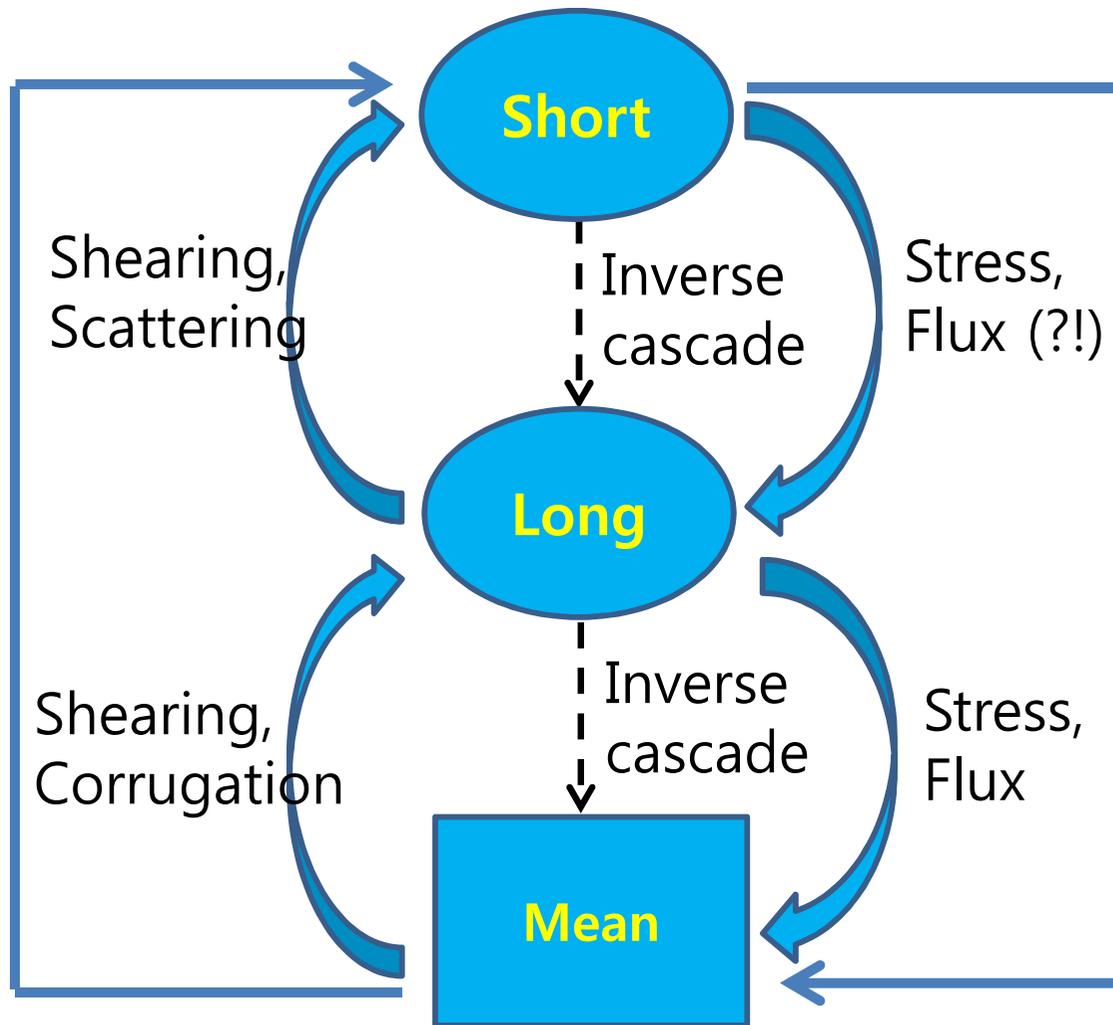


# Lessons

- Staircases happen – Important spatial pattern
  - Staircase is ‘natural upshot’ of modulation in bistable/multi-stable system
  - Bistability is a consequence of mixing scale dependence on gradients, intensity  $\leftrightarrow$  define feedback process
  - Bistability effectively locks in inhomogeneous PV mixing required for zonal flow formation
  - Mergers result from accommodation between boundary condition, drive(L), initial secondary instability
  - Staircase is natural extension of quasi-linear modulational instability/predator-prey model  $\rightarrow$  couples to transport and b.c.  $\leftrightarrow$  simple natural phenomenon

# **Towards a Reduced Model**

# Re-visiting Feedback Loops (Minimal)



Flux stresses

- **Sources and Sinks**

- $Q_e \Rightarrow \nabla T_e$ , etc
- Collisional damping of Z.F.
- Boundary conditions, outflow

# Observations re: Modelling

- H+D '04 key elements:

① Straining of ETG scales by D.W.'s

$$\sim \nabla_k \cdot D_k^L \cdot \nabla_k \langle N_S \rangle \rightarrow \text{random shearing}$$

② ETG stresses negligible, in general

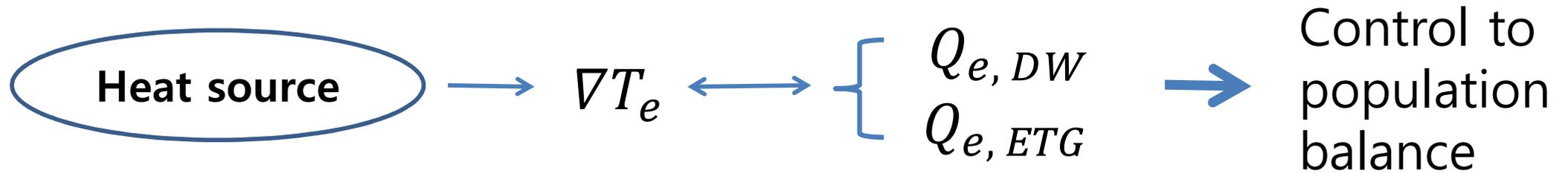
③ Noted  $\nabla \langle T \rangle$  modulations by drift wave, but did not develop implication

➔ Conclusion that long wavelength activity could regulate short wavelengths, little effect short  $\rightarrow$  long

➔ Expect robust large scale activity will suppress short

# Other Elements

- Mode competition for free energy:



N.B. Flux driven simulation ultimately required

→ Spatial scattering (i.e. 'turbulence spreading') accompanies  
straining/shearing

$$\text{i.e. } \partial_t \langle N_S \rangle = \nabla_x \cdot D^L \cdot \nabla_x \langle N_S \rangle + \dots$$

→ Weak nonlocality introduced

# Other Elements, cont'd

- Local energy transfer, via inverse cascade

$$\frac{d}{dt} \varepsilon_{ETG} \sim - \left( \frac{\tilde{V}}{l} \right)_{ETG} \varepsilon_{ETG} \rightarrow \frac{d}{dt} \varepsilon_{ITG} \quad \text{n.b.: Energy conservation!}$$

~ effective noise source for ion scale turbulence

- Small scale  fine scale envelope
  - Short wavelength envelope field smooth
  - Both scales contribute to ZF field
  - Enhance inertia due Boltzmann ions is 'linear' effect
  - Suggests  $\varepsilon_D$ ,  $\varepsilon_E$  as fluctuation fields

# A Simple, Tractable Model

- Aims are understanding/insight
- Primitive equations:
  - Fluid DWs, evolving  $\phi, T_e$
  - ETG, evolving  $\phi, T_e$
  - $\langle T_e \rangle, \langle \phi \rangle$  couple to both populations
- Reduced model:
  - Evolve  $\langle T \rangle, \langle \phi \rangle$  via ion, electron scale vorticity flux, heat flux
  - Evolve  $\varepsilon_{DW}, \varepsilon_{ETG}$  envelope fields
  - Ultimately 4 fields in  $(r, t)$

# Elements

- Mean  $T$  evolution

$$\partial_t \langle T \rangle = -\partial_r \{ \langle \tilde{V}_r \tilde{T} \rangle_D + \langle \tilde{V}_r \tilde{T} \rangle_E \} + \chi_0 \partial_r^2 \langle T \rangle + S_0$$

$$\langle \tilde{v} \tilde{T} \rangle_{D,E} \rightarrow \varepsilon_D, \varepsilon_E, \nabla T$$

$$l_D, l_E \rightarrow \text{characteristic scales, evolving}$$

- Key Point:
  - Population induced transports compete to carry heat flux
  - Spreading/spatial scattering  $\rightarrow$  weak nonlocality
  - Relative thresholds important

- Mean  $\langle V_E \rangle$  evolution

Weighting for electron inertia correction

$$\partial_t \langle V_E \rangle = -\partial_t \{ \langle \tilde{V}_r \tilde{V}_\perp \rangle_D + w \langle \tilde{V}_r \tilde{V}_\perp \rangle_E \} - \mu_{ef} \langle V_E \rangle$$

Taylor identity:  $-\partial_r \langle \tilde{V}_r \tilde{V}_\perp \rangle \rightarrow \langle \tilde{V}_r \nabla_\perp^2 \tilde{\phi} \rangle$

$$\langle \tilde{V}_r \nabla_\perp^2 \tilde{\phi} \rangle = -\chi_y \frac{\partial^2}{\partial r^2} \langle V_E \rangle + \Pi_{vort}^{res}$$

← Off diagonal,  $\nabla T$  driven  
See Ashourvan, PD  
for calculation

$$\left\{ \begin{array}{l} \chi_y \rightarrow f_D \sqrt{E_D} l_{D, mix} \\ \Pi_{\nabla^2 \phi}^{res} \rightarrow f_D^{1/2} l_{D, mix} \sqrt{E_D} \frac{\Omega_i}{L_T} \end{array} \right. ; \text{ Similarly ETG scales}$$

- Drift Wave Energetics:  $\varepsilon_D = \varepsilon_D(r, t)$   
 $\rightarrow$  DW envelope scale

$$\partial_t \varepsilon_D + \partial_r \Gamma_{\varepsilon_D} = -Q_{e,turb} \frac{d}{dx} \langle T \rangle - \langle \tilde{V}_r \tilde{V}_\perp \rangle \frac{\partial}{\partial r} \langle V_E \rangle$$

Relaxation

Reynolds work

$$- \frac{f_D^{1/2} \varepsilon_D^{3/2}}{l_D} + \frac{f_E^{1/2} \varepsilon_E^{3/2}}{l_E} + \frac{d\varepsilon_D}{dt} \Big|_{cross-scale}$$

Inverse cascade from ETG  $\rightarrow$  excitation

$$\Gamma_{\varepsilon_D} = \text{spreading} = -l_D (f_D \varepsilon_D)^{\frac{1}{2}} \partial_r \varepsilon$$

$$Q_{e,turb} \frac{\partial}{\partial x} \langle T \rangle = \nabla T \text{ relaxation} \leftrightarrow Q_{e,above}$$

$$\langle \tilde{V}_r \tilde{V}_\perp \rangle \frac{\partial}{\partial r} \langle V_E \rangle \Rightarrow \chi_y \left( \frac{\partial}{\partial r} \langle V_E \rangle \right)^2 + \langle V_E \rangle \Pi_{D, \nabla^2 \phi}^{res}$$

- ETG Energetics:  $\varepsilon_E = \varepsilon_E(r, t)$   
 $\rightarrow$  ETG envelope scale

$$\partial_t \varepsilon_E + \partial_r \Gamma_E = -Q_{e,E,turb} \frac{d}{dx} \langle T \rangle - w_E \langle V_r \tilde{V}_\perp \rangle_E \frac{d}{dr} \langle V_E \rangle$$

Energy drain to DW

$$\rightarrow -f_E^{1/2} \varepsilon_E^{3/2} / l_E + \frac{d}{dt} \varepsilon_E |_{cross-scale, E}$$

$$\Gamma_E = \text{spreading} = -l_E (f_E \varepsilon_E)^{1/2} \partial_r \varepsilon \rightarrow \text{significant}$$

$$-Q_{e,turb} \frac{\partial}{\partial x} \langle T \rangle = l_{mix, E} (f_E \varepsilon_E)^{1/2} \left( \frac{d}{dx} \langle T \rangle \right)^2$$

$$w_E \langle \tilde{V}_r \tilde{V}_\perp \rangle \frac{d}{dr} \langle V_E \rangle = w \chi_{y,E} \left( \frac{d}{dr} \langle V_E \rangle \right)^2 + \langle V_E \rangle \Pi_{E, \nabla^2 \phi}^{res}$$

$$\chi_{y,E} = l_E (f_E \varepsilon)^{1/2}, \quad \Pi_{E, \nabla^2 \phi}^{res} = f_D^{1/2} l_E \sqrt{E} \Omega_E / L_T$$

# Characteristic Scales:

- Need to specify  $l_D, l_E$  as basic correlation scales on DW, ETG scales
- These are modified by shearing, with shear computed self-consistently
- Envelope scales  $\rightarrow$  i.e.  $\varepsilon_D, \varepsilon_E$  scales evolved self-consistently with profiles: n.b.  $\varepsilon_E$  **not** obviously limited to electron scale

$$l_{m \dot{x}, D}^2 = l_{0, D}^2 / [1 + k_D^2 \rho_S^2 \langle V_E \rangle' \tau_{c, D}^2]; \text{ with } \tau_c \text{ hybrid (BDT)}$$

$$\rightarrow l_{0, D}^2 / [1 + |V_E' / k_{\perp, D} \rho_S k_m \sqrt{\varepsilon_D}|]; \text{ ETG, } D \rightarrow E$$

# Cross-Scale Coupling: Nonlocal in scale

- Straining and Scattering of shorts by longs are essential
- Adiabatic theory for shorts  $\rightarrow \langle N_E \rangle$ :

$$\partial_t \langle N \rangle = \nabla_x \cdot D_x \cdot \nabla_x \langle N \rangle + \nabla_k \cdot D_k \cdot \nabla_k \langle N \rangle$$

$D_x, D_k$  set by DW field

$$D_x = \sum_{k,DW} \langle \vec{V} \vec{V} \rangle_k \tau_{c,k} = \sum_{k,DW} \langle \vec{V} \vec{V} \rangle_k \delta(\omega - k \cdot V_{gr,E})$$


$$D_k = \sum_{k,DW} \left| \frac{\partial}{\partial x} \left( \omega_k \frac{\hat{T}_k}{T} + k_\theta \hat{V}_{E,k} \right) \right|^2 \tau_{c,k}$$



$\epsilon_D$

# Cross-Scale Coupling, cont'd

DW induced "spreading" of ETG's envelope!

$$\frac{d}{dt} \varepsilon_E |_{cross \text{ -scale}} = \frac{\partial}{\partial x} D_x[\varepsilon_D] \frac{\partial}{\partial x} \varepsilon_E$$

$$- \int V_{gr,E} \cdot D_k[\varepsilon_D] \cdot \nabla_k \langle N \rangle_E dk$$

For power law spectrum:

$$\frac{d}{dt} \varepsilon_E |_{cross} = \text{spreading(above)} + \frac{V_{gr}}{\omega_{ETG}} D_{kr}[\varepsilon_D] \propto \varepsilon_E$$

index

n.b.:  $-V_{gr}/\omega < 0 \rightarrow$  backward wave  $\rightarrow$  straining decrement

$\rightarrow$  Spectral structure enters multi-scale problem. Resolution

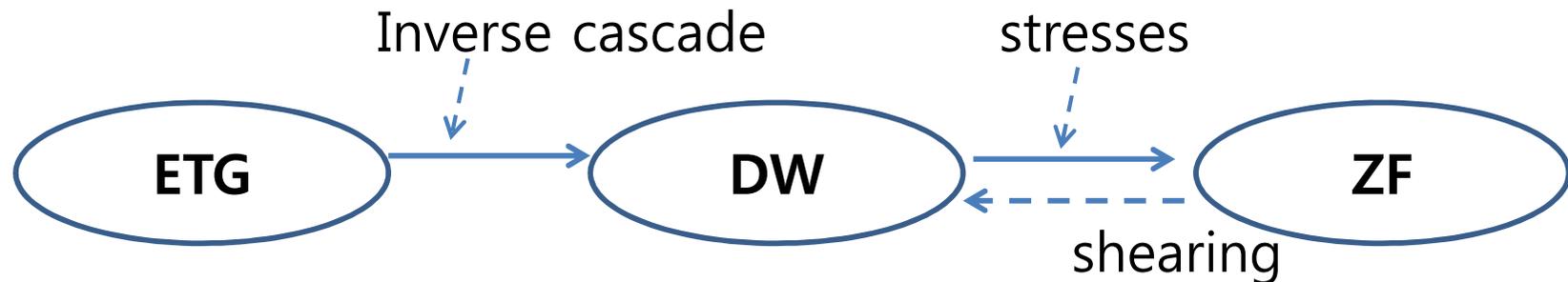
challenges to DNS – link cross-scale interaction spectral structure

# So, the model

- 4 equations in  $r, t$  + mixing lengths (with shearing)
- Calculate  $\varepsilon_D(r, t)$ ,  $\varepsilon_E(r, t)$ ,  $\langle T_e(r, t) \rangle$ ,  $\langle V_E(r, t) \rangle$   
n.b. 1 equation beyond Ashourvan, P.D. '16, '17
- Control parameters:
  - Heat source  $\rightarrow$  DW, ETG compete
  - Mean flow decrements
  - $l_{0,E}$ ,  $l_{0,D}$
- Un-resolved questions
  - ETG stress on DW
  - \* – Inverse cascade to mean?  $\rightarrow$  ZF { Noise + Modulation

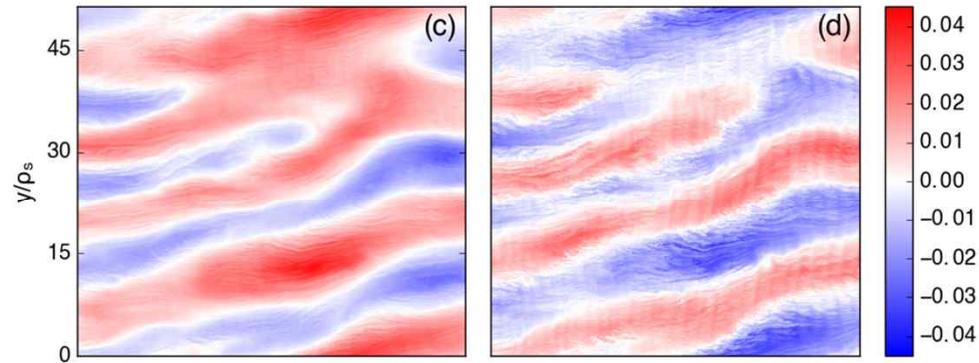
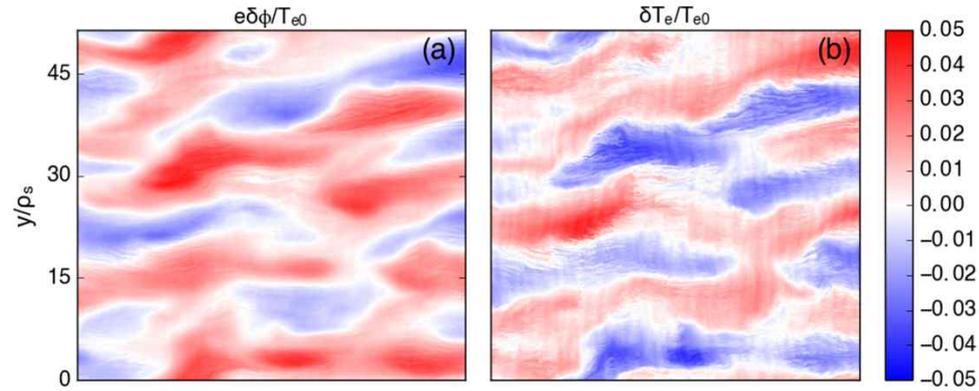
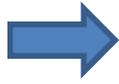
# Consideration of system suggests:

- 'Dimitis Shifts' like state for ETG's should exist

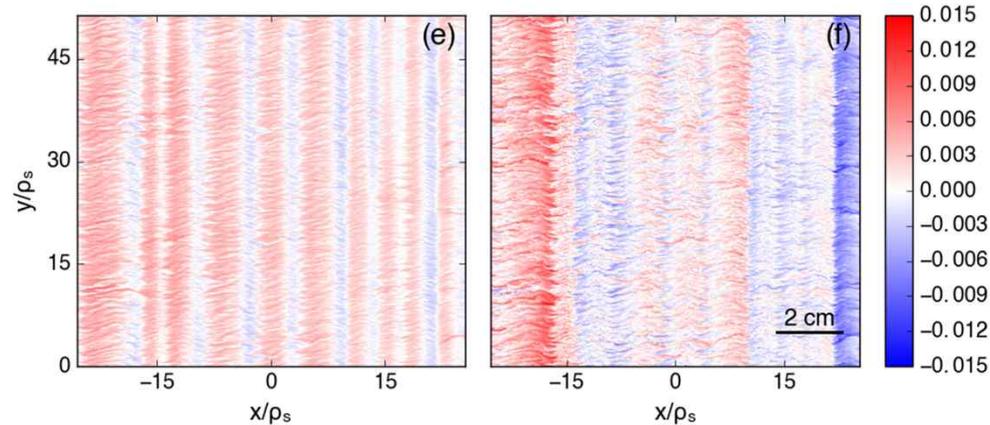
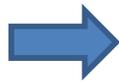


- ETG can generate a state of ion scale ZF ?!
- Regulate DW's by shearing
- Lesson: Beware assumptions re: envelope scale

Low k  
dominated



"Dimits Shift"  
Regime →  
ZF dominated

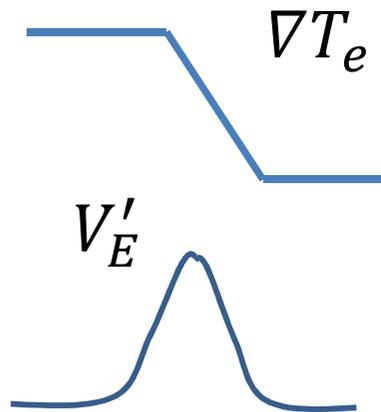


C. Holland, et. al.  
NF 2017

- Transition from low k → 'Dimits Shift' regime as  $\langle V_E \rangle'_{ext}$  increased
- How are ion ZF's energized in ETG regime? → inverse cascade as channel ?!

# Multi-Scale, Multi-Step Staircases

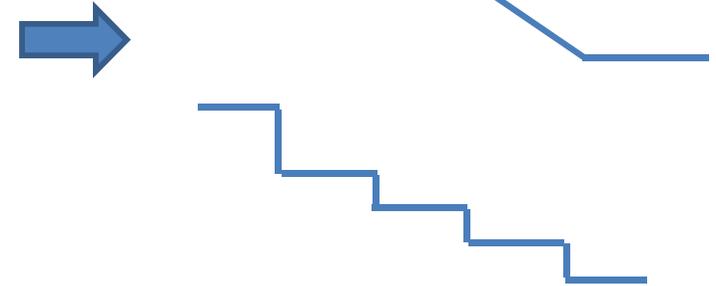
- DW + ZF forms staircase structure (Dif-Pradalier, Ashourvan, P.D. '10, '16, '17 after Dritchel, McIntyre '08)
- Mechanism is  $\leftrightarrow$  Envelope scale selection
  - Inhomogeneous mixing(PV) via modulation
  - Feedback on flux



- Region of steep  $\nabla T_e$  form
- DW suppressed, but ETG  $\sim$  insensitive mean  $\langle V_E \rangle'$

So

- ETG staircase forming in  $\nabla T_e$  jumps !?  
(survive strong DW shears?)



Or

- ETG transport limits staircase formation via feedback on  $\nabla T_e$   
(damp ion staircase as  $\nabla T$  steepens?)

→

- DW, ETG competition for free energy source is essential!
- Relative thresholds significant

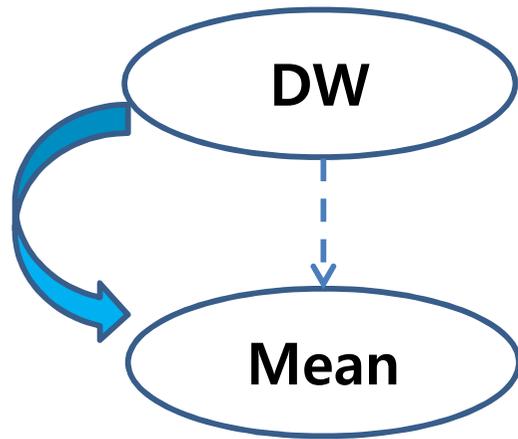
# Ongoing and Plans:

- Numerical solution (1+1) of 4 equation model (easily implemented)
- Explore:
  - Threshold + flow damping scans
  - Spatial patterns – staircase (and its fate)
  - Dimits shift regimes, ETG driven ZFs structure
  - $Q_e^D$ ,  $Q_e^E$  branching ratio vs  $\nabla T_e$
  - Roles of straining, scattering in cross-scale interaction

# A New Question re: Old Friend

- How understand interaction between Reynolds stresses and inverse cascade in Zonal Flow formation?

i.e.



- 'Predator-Prey' formulations have focused on Reynolds stress
  - R,H retained noise, missed  $\nu < 0$
  - Noise alone insufficient!
  - 'Diagonal' part of R.S. / vorticity flux plays essential role!
- ➔ coming attraction ....

# Conclusion

- Multi-scale physics still yield new questions for research.
- Yet to confront non-locality, avalanching etc.
- Theory, reduced modelling necessary for understanding large scale simulations.

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